



*TH*

PATENT  
Attorney Docket No.: COOL-00800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 3744  
Girish Upadhyaya et al. )  
Serial No.: 10/698,180 ) **TRANSMITTAL LETTER**  
Filed: October 30, 2003 ) 162 N. Wolfe Road  
For: **OPTIMAL SPREADER SYSTEM** ) Sunnyvale, CA 94086  
**DEVICE AND METHOD FOR** ) (408) 530-9700  
**FLUID COOLED MICRO-SCALED** ) Customer No.: 28960  
**HEAT EXCHANGE** )

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. 1.97(e)(1) for the Supplemental Information Disclosure Statement, Form PTO-1449, and two publications for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 2-10-09

By: *Thomas B. Haverstock*  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.6(c))  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 2/10/09 By: *ST*



Attorney Docket No.: PATENT  
COOL-00800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Art Unit:	3744
Girish Upadhya et al.	)	Examiner:	Ciric, Ljiljana V.
Serial No.: 10/698,180	)		
Filed: October 30, 2003	)	<b>CERTIFICATION FOR</b>	
	)	<b>SUPPLEMENTAL INFORMATION</b>	
For: <b>OPTIMAL SPREADER SYSTEM,</b>	)	<b>DISCLOSURE STATEMENT UNDER 37</b>	
<b>DEVICE AND METHOD FOR</b>	)	<b>CFR § 1.97(e)</b>	
<b>FLUID COOLED MICRO-SCALED</b>	)	162 N. Wolfe Road	
<b>HEAT EXCHANGE</b>	)	Sunnyvale, CA 94086	
	)	(408) 530-9700	
	)	Customer No.: 28960	

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

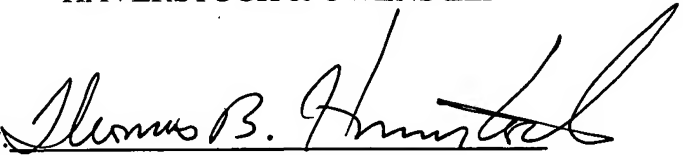
I hereby certify that each item of information contained in this supplemental information disclosure statement was first cited in a communication from a foreign patent office on November 10, 2008, and January 20, 2009, in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. 37 C.F.R. 1.97(e)(1).

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 2-10-09

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 2/10/09 By: LS



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Attorney Docket No.: COOL-00800

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In re Application of:	)	Group Art Unit: 3744
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Girish Upadhy et al.	)	Examiner: Ciric, Ljiljana V.
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Serial No.: 10/698,180	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
	)	<b><u>DISCLOSURE STATEMENT</u></b>
	)	
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	)	162 N. Wolfe Road
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<b>HEAT EXCHANGE</b>	)	Customer No. 28960
	)	

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

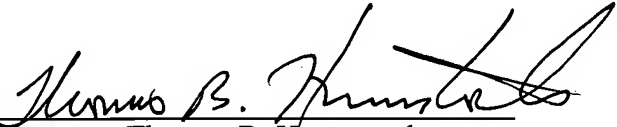
Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No.: 5,285,347;
- Japan Patent Abstract No. : 64-024447; and
- Plattenwarmerübertrager, Die Bibliothek der Technik 105, published by "moderne industrie", 3<sup>rd</sup> edition, 2000, ISBN 3-478-93119-3, pages 34-36

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 2-10-09

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.6(a))  
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HAVERSTOCK & OWENS LLP.

Date: 2/10/09 By: 